

**HAMBLETON DISTRICT COUNCIL**

**Report To:** Cabinet  
17 July 2012

**Subject: FINAL ACCOUNTS 2011/12 - OUTTURN POSITION**

All Wards  
Scrutiny Committees  
Cabinet Member for Corporate Management: Councillor R Kirk

---

**1.0 PURPOSE AND BACKGROUND:**

- 1.1 The purpose of this report is to present to Members the outturn position on the revenue, capital and reserve fund accounts for the year ending 31 March 2012. Approval of the Statement of Accounts is the responsibility of the Audit and Governance Committee which will meet on 26 September 2012 for this purpose.
- 1.2 This report presents the management accounts in the format Members have become accustomed to, that is, Scrutiny Area for revenue expenditure, as well as Capital and Reserve Fund expenditure. These are attached as Annexes A to C.
- 1.3 The report focuses on three key outcomes:-
- (a) The overall position at the end of the financial year;
  - (b) Major variances between budget and outturn;
  - (c) Suggested action in the light of the outturn position.

**2.0 DECISIONS SOUGHT:**

- 2.1 Members are asked to consider the use of the 2011/12 under spend against the revenue budget as shown in Annex A and approve the proposed adjustments to the Capital and Reserve Fund Programmes as detailed in Annexes B and C.

**3.0 OVERALL FINANCIAL POSITION:**

- 3.1 The accounts for the Council have now been closed and show an overall under spend of £560,413 against the latest approved estimates. Further details are given in Annex A.
- 3.2 The overall position of balances held by the Council as at 31 March 2012 is shown in the table below. It should be noted that the position assumes that the recommendations included in this report are approved.

|                          | £                |
|--------------------------|------------------|
| <u>Capital Reserves</u>  |                  |
| Capital Receipts         | 5,190,126        |
| Capital Grants Unapplied | 76,586           |
| Total Capital Reserves   | <u>5,266,712</u> |

|                                    |                          |
|------------------------------------|--------------------------|
| <u>Revenue Reserves</u>            | <u>£</u>                 |
| Council Taxpayers                  | 8,308,970                |
| Community Safety                   | 103,131                  |
| Local Plan Framework               | 8,376                    |
| Hambleton Strategic Partnership    | 8,000                    |
| Capital Fund                       | 1,865,178                |
| Grants Fund                        | 465,407                  |
| Cyclical Reserve                   | 1,029,216                |
| Service Improvement Reserve        | 2,163,562                |
| Shared Service                     | 1,222,366                |
| Efficiency                         | 419,440                  |
| New Homes Bonus                    | 315,221                  |
| Sub Total                          | <u>15,908,867</u>        |
| General Fund Balance               | 2,000,000                |
| <u>Total Revenue Reserves</u>      | <u>17,908,867</u>        |
| <br>                               |                          |
| <b><u>Total (All) Reserves</u></b> | <b><u>23,175,579</u></b> |

#### **4.0 RISK ASSESSMENT:**

- 4.1 There are no major risks associated with this report. The report informs Members of the Council's financial performance against the revenue, capital and reserve fund programmes. This is an important piece of information which, when taken together with other performance information, completes the picture of how the Council has achieved its aims and objectives.
- 4.2 There will always be under and over spends against any financial programme, and it is vital that the 2011/12 outturn is used to inform the financial programmes for the current year. It is intended that the implications of the 2011/12 outturn will be used to inform the 2012/13 revenue budget. So that any budgets with under spends occurring in 2011/12 which are deemed to be on going, will be revised in 2012/13 and the budget outlook will be adjusted.

#### **5.0 RECOMMENDATIONS:**

- 5.1 It is recommended to Council that:
- (1) The under spend of £560,413 on the 2011/12 revenue budget be transferred to the Service Improvement Reserve; and
  - (2) The adjustments to Capital and Reserve programmes in Annexes B and C be approved.

PHILLIP MORTON

**Background papers:** None  
**Author ref:** CB  
**Contact:** Claire Blackburn  
Finance Manager  
Direct Line No: 827117

## OUTTURN REVENUE BUDGET 2011/12

### 1.0 BACKGROUND:

1.1 The original budget plans for 2011/12 were for a net spend of £8,819,400. During the financial year, under the Council's budgetary control arrangements, Cabinet received quarterly monitoring reports, all of which adjusted the budget in the light of the latest information available at the time.

1.2 The overall position at the end of 2011/12 is:-

|                             |                  |
|-----------------------------|------------------|
|                             | £                |
| Revised Budget (March 2012) | 8,703,770        |
| Outturn                     | <u>8,143,357</u> |
| (Over) / Under spend        | <u>560,413</u>   |

1.3 It should be noted that the under spend is after the following savings have been transferred to reserves:

|                        |                |
|------------------------|----------------|
|                        | £              |
| Shared Service Savings | <u>674,210</u> |
|                        | <u>674,210</u> |

### 2.0 VARIANCES WITHIN THE REVENUE BUDGET:

2.1 An analysis of major variances (over £10,000) is attached at Annex A(1) together with explanations relating to them.

### 3.0 USE OF THE UNDERSPEND:

#### The Level of Underspend

3.1 The 2011/12 under spend is £560,413 and represents 1.25% of the gross budget.

#### Options

3.2 Members have a number of options which could be considered.

3.2.1 Option 1 – to transfer the surplus into general balances (the Council Taxpayers Reserve). This would enable the Council to maintain low Council Tax levels for a longer period. However, the benefit would not be felt for a number of years.

3.2.2 Option 2 – to put the surplus into general balances and increase the operational budget over the period of the 10 year financial strategy. This would increase the annual revenue budget by approximately £56,041 per annum (£560,413/ 10). Whilst this is an option the current focus is ongoing savings rather than increasing expenditure

3.2.3 Option 3 – to put the surplus into the Service Improvement Reserve. A transfer of £560,413 would give Members greater flexibility and choice over possible future use of this resource.

3.2.4 Option 4 – to transfer the surplus into the Capital Fund to finance future capital projects.

### Conclusion

3.3 Given the ongoing expectations of the public for more and better services whilst at the same time having to cope with reducing resources from the Government I would suggest that the under spend of £560,413 is transferred to the Service Improvement Reserve - Option 3. This option would give Members the greatest flexibility over how to use the under spend.

### 4.0 **RECOMMENDATION:**

4.1 It is recommended that the 2011/12 revenue budget under spend of £560,413 be transferred to the Service Improvement Reserve.

**OUTTURN CAPITAL PROGRAMME 2011/12****1.0 BACKGROUND:***Expenditure (Capital Programme)*

- 1.1 The outturn expenditure is shown by Priority area at Annex B(1). A number of adjustments are required where schemes have failed to complete in the year or have straddled the year and spent more or less than budget. There are also some savings made as well as overspends. The adjustments required are shown in the Annex and summarised below:

|                               | Customer  | Housing & Planning | Leisure & Health | Partnerships | Corporate Management | Total       |
|-------------------------------|-----------|--------------------|------------------|--------------|----------------------|-------------|
|                               | £         | £                  | £                | £            | £                    | £           |
| <u>Programme Re-profiling</u> |           |                    |                  |              |                      |             |
| Roll fwd budget to 2012/13    | (2,864)   | -                  | (55,700)         | (130,404)    | (22,510)             | (211,478)   |
| Bring fwd budget from 2012/13 | -         | -                  | 46,328           | -            | -                    | 46,328      |
|                               | (2,864)   | -                  | (2,974)          | (130,404)    | (22,510)             | (165,150)   |
| <u>Savings/Overspends</u>     |           |                    |                  |              |                      |             |
| Savings                       | (375,200) | (326,362)          | (108,593)        | (79,039)     | (50,815)             | (940,009)   |
| Overspends                    | -         | 72                 | -                | 90,289       | -                    | 90,361      |
|                               | (375,200) | (326,290)          | -                | 11,250       | (50,815)             | (849,648)   |
|                               |           |                    |                  |              |                      |             |
| Net Position                  | (378,064) | (326,290)          | (117,965)        | (119,154)    | (73,325)             | (1,014,798) |

*Income (Capital Receipts and Grants)*

- 1.2 The Council's capital receipts are mainly derived from the sale of economic development land, unwanted land, buildings or vehicles etc and the right to buy clawback agreement with Broadacres Housing Association. Capital grants are received from a variety of sources and are scheme specific.
- 1.3 The outturn achievement is shown in Annex B (2) and summarised below.

| <b>CAPITAL INCOME</b>                |               |             |            |
|--------------------------------------|---------------|-------------|------------|
|                                      | Receipts<br>£ | Grants<br>£ | Total<br>£ |
| Latest approved estimate of receipts | 750,850       | 508,922     | 1,259,772  |
| Actual receipts 2011/12              | 358,488       | 555,397     | 913,885    |
| (Surplus)/Shortfall                  | 392,362       | (46,475)    | 345,887    |

**2.0 RECOMMENDATION:**

- 2.1 It is recommended that the adjustments detailed in Annex B (1) and (2) are approved.

**OUTTURN RESERVE FUNDS 2011/12**

**1.0 REVENUE GRANTS FUND:**

1.1 This is the third year of operation for this Fund which had an opening balance of £529,146. During the year £63,739 was expended on the items identified in Annex C(1). When these transactions are taken into account, together with the proposed recommendations regarding the over and under spends and roll forwards, the closing balance on the Fund is £465,047.

**2.0 LOCAL DEVELOPMENT FRAMEWORK RESERVE:**

2.1 The outturn for the Local Plan Reserve is shown at Annex C(2). Expenditure of £34,519 was incurred during the year and it is requested that a net under spend of £8,376 is rolled forward to 2012/13. Explanations are contained in the Annex.

**3.0 SERVICE IMPROVEMENT RESERVE:**

3.1 During the year expenditure of £645,740 was incurred on the items identified in Annex C(3). Over £880,000 was paid into the Reserve in respect of various grants and other income. Members will note that there are some overspends and savings, as well as requests to carry forward funds to 2012/13.

3.2 The balance on the Reserve is now £2,163,562 with an unallocated amount of £1,125,726. This includes the recommended transfer of the 2011/12 under spend into the Reserve.

**4.0 CYCLICAL RESERVE:**

4.1 This Reserve formerly received annual contributions but this ceased following Cabinet's decision in November 2008 to replace these annual contributions with a one-off transfer from the Service Improvement Reserve. The fund had an opening balance of £1,097,712. During the year £68,496 was spent on the District Election. The 10 year programme (2011-2020) has a sum of £647,700 allocated to schemes, leaving an unallocated balance of £381,516.

**5.0 LEVEL OF RESERVES:**

5.1 The balances on each of the above Reserves are considered adequate for the purpose for which they were established.

**6.0 RECOMMENDATION:**

6.1 It is recommended that the adjustments detailed in Annexes C(1) to (4) are approved.

**2011/12 REVENUE OUTTURN - MAJOR VARIANCES > £10,000**

|                                      |                       | <b>Overspends<br/>£</b> | <b>Underspends<br/>£</b> | <b><u>Explanation Of Variances</u></b>                           |
|--------------------------------------|-----------------------|-------------------------|--------------------------|--|
| <b><u>Corporate Management</u></b>   |                       |                         |                          |  |
| Elections                            | Income                |                         | 12,000                   | Uncontested Elections income not budgeted for.                   |
| Corporate Management & Support       | Salaries              |                         | 18,876                   | Vacant posts.  |
| <b><u>Customer Services</u></b>      |                       |                         |                          |  |
| ICT                                  | Salaries              |                         | 18,000                   | Vacant posts.  |
|                                      | IT Costs              |                         | 74,000                   | Reduced running costs of various systems.                        |
|                                      | Income                |                         | 13,868                   | Additional Income for external work done ICT Manager.            |
| Communications                       | Printing & Stationery |                         | 10,000                   | No Hambleton News produced or posted in 2011-12.                 |
| Reprographics                        | Photocopying          |                         | 11,876                   | Reduced photocopying costs.                                      |
| Recycling                            | Income                |                         | 60,166                   | New recycling contract commenced 16/01/12                        |
| Housing Benefits                     | Net Cost              |                         | 163,691                  | Reduced cost of service due to increase in subsidy entitlement.  |
| Council Tax Benefits                 | Net Cost              |                         | 133,136                  | Reduced cost of service due to increase in subsidy entitlement.  |
| <b><u>Housing &amp; Planning</u></b> |                       |                         |                          |  |
| Bed & Breakfast Accommodation        | Rents                 |                         | 26,931                   | Fewer claimants and payments to bed and breakfast providers.     |
| Development Management               | Income                | 32,873                  | 10,200                   | Additional income from Section 106 Agreements                    |
|                                      | Income                |                         | 14,396                   | Reduced number of planning applications                          |
|                                      | Consultancy           |                         |                          | Expected to start 'CIL' work but not commenced until April 2012. |

## Leisure & Health

|                            |   |                  |  |  |
|----------------------------|---|------------------|--|--|
| Bedale Leisure Centre      | Income  |                  | 14,974   | More income generated than expected.   |
| Thirsk Pool                | Grant to Charity<br>Income  | 32,598<br>14,749 |  | Non recoverable VAT.<br>Under achieved income.   |
| Hambleton Forum            | Premises  |                  | 11,000   | Gas & electricity under spends   |
| <b><u>Partnerships</u></b> |   |                  |  |  |
| Workspace Management       | NNDR<br>Reps & Maintenance<br>Electricity<br>Partnership Payments<br>Advertising<br>Professional Fees<br>Income |                  | 30,000<br>15,736<br>21,117<br>15,000<br>5,000<br>5,000<br>45,000 | Revaluations and refunds.<br>Operational under spend.<br>Operational under spend.<br>Partnership payment no longer payable<br>Operational under spend.<br>Operational under spend.<br>Various income streams reported to the Board |
| Building Control           | Partnership Payments  | 13,718           |  | Additional contribution required to the Building Control Partnership.  |
| World of James Herriot     | Fees & Charges<br>Sales   | 10,065<br>12,523 |  | Income under achieved - now transferred to a Trust.<br>Income under achieved - now transferred to a Trust.   |
| Footway Lighting           | Repairs & Maintenance   |                  | 15,529   | Operational under spend.   |
| Car Parks General          | Income  | 11,978           |  | Under achieved on long stay discount card income.  |
|                            |   | <u>128,504</u>   | <u>745,496</u>   |  |
|                            | <b>Net Under Spend from Major Variances &gt; £10,000 Above</b>  |                  | <b>616,992</b>   |  |
|                            | Other Minor Variances < £10,000   |                  | -56,579  |  |
|                            | <b>TOTAL NET UNDERSPEND 2011/12</b>   |                  | <b><u>560,413</u></b>  |  |



1st April to 31st March 2012

CAPITAL OUTTURN 2011/2012

## EXPENDITURE SUMMARY

| Scheme               | Programme        |                | Expenditure to Date<br>£ | Anticipated Outturn<br>£ | Variance over/(under)<br>£ |
|----------------------|------------------|----------------|--------------------------|--------------------------|----------------------------|
|                      | HDC<br>£         | Grants<br>£    |                          |                          |                            |
| Customer Services    | 417,000          | -              | 417,000                  | 38,936                   | (378,064)                  |
| Housing & Planning   | 54,630           | 636,940        | 691,570                  | 365,280                  | (326,290)                  |
| Leisure & Health     | 93,000           | 165,055        | 258,055                  | 140,090                  | (117,965)                  |
| Partnerships         | 151,932          | 190,740        | 342,672                  | 223,518                  | (119,154)                  |
| Corporate Management | 288,973          | -              | 288,973                  | 215,647                  | (73,326)                   |
| <b>Total</b>         | <b>1,005,535</b> | <b>992,735</b> | <b>1,998,270</b>         | <b>983,471</b>           | <b>(1,014,799)</b>         |

1st April to 31st March 2012

CAPITAL OUTTURN 2011/2012

## CUSTOMER SERVICES

|   | Resp Officer | Programme        |          |                  | Expenditure to Date | Anticipated Outturn | Variance over/(under) | Cabinet Ref. Year/No. | Comments  |
|---|--------------|------------------|----------|------------------|---------------------|---------------------|-----------------------|-----------------------|---|
|   |              | HDC              | Grants   | Total            |                     |                     |                       |                       |   |
| Wheeled Bins, Litter & Dog Bins             | MAJ          | £ 36,000         | -        | £ 36,000         | £ 33,136            | £ 33,136            | £ (2,864)             |                       |   |
| Land for New Depot off Gatherley Road (60%) | MAJ          | £ 375,000        | -        | £ 375,000        | -                   | -                   | £ (375,000)           |                       | Roll forward requested                                  |
| 2 Ford Ranger Pickups ex RDC                | MAJ          | £ 6,000          | -        | £ 6,000          | £ 5,800             | £ 5,800             | £ (200)               |                       | Scheme deleted as part of 10 year plan.<br>Under spend. |
| <b>Total</b>                                |              | <b>£ 417,000</b> | <b>-</b> | <b>£ 417,000</b> | <b>£ 38,936</b>     | <b>£ 38,936</b>     | <b>£ (378,064)</b>    |                       |   |

1st April to 31st March 2012

## CAPITAL OUTTURN 2011/2012

## HOUSING &amp; PLANNING

| Scheme                            | Resp Officer | Programme     |                |                | Expenditure to Date | Anticipated Outturn | Variance Over/(under) | Cabinet Ref. Year/No.                    | Comments |
|-----------------------------------|--------------|---------------|----------------|----------------|---------------------|---------------------|-----------------------|--|----------|
|                                   |              | HDC           | Grants         | Total          |                     |                     |                       |  |          |
| Domestic Violence Refuge          | DG/MAJ       | £ -           | £ 214,000      | £ 214,000      | £ 214,072           | £ 214,072           | £ 72                  | £121,000 roll forward previously agreed. |          |
| Housing Renovation Grants (Net)   | MAJ          | 50,000        | 111,940        | 161,940        | 154,723             | 154,723             | (7,217)               | Under spend.                             |          |
| Acquisition of Garage at Swainby  | MAJ          | 4,630         | -              | 4,630          | (3,515)             | (3,515)             | (8,145)               | Under spend.                             |          |
| Section 106 Housing (Unallocated) | MAJ          | 0             | 311,000        | 311,000        | 0                   | 0                   | (311,000)             | To be reviewed 2012/13.                  |          |
| <b>Total</b>                      |              | <b>54,630</b> | <b>636,940</b> | <b>691,570</b> | <b>365,280</b>      | <b>365,280</b>      | <b>(326,290)</b>      |  |          |

1st April to 31st March 2012  
CAPITAL OUTTURN 2011/2012

## LEISURE &amp; HEALTH

| Scheme                            | Resp Officer | Programme     |                |                | Expenditure to Date | Anticipated Outturn | Variance over/(under) | Cabinet Ref. Year/No.  | Comments |
|-----------------------------------|--------------|---------------|----------------|----------------|---------------------|---------------------|-----------------------|--|----------|
|                                   |              | HDC           | Grants         | Total          |                     |                     |                       |  |          |
| Gym Equipment Refresh             | DG           | 24,000        | -              | 24,000         | -                   | £ (24,000)          |                       | Roll forward requested.  |          |
| Intruder Alarms SLC & BLC         | DG           | 9,000         | -              | 9,000          | -                   | £ (9,000)           |                       | Roll forward requested.  |          |
| Fire Alarms SLC & BLC             | DG           | 20,000        | -              | 20,000         | -                   | £ (20,000)          |                       | Roll forward requested.  |          |
| Thirsk Sensory Garden             | DG           | -             | 20,000         | 20,000         | 20,000              | -                   | 2008/49               | Scheme complete.   |          |
| Thirsk 8 Skatepark Provision      | DG           | -             | 99,000         | 99,000         | 50,000              | £ (49,000)          | 2011/02               | Under spend - scheme complete.   |          |
| Thirsk All Weather Pitch          | DG           | 30,000        | 39,055         | 69,055         | 6,762               | £ (62,293)          | 2010/16               | Roll forward of £2,700 requested for final payment 2012/13.  |          |
| Roof Repairs SLC & HLC            | DG           | 10,000        | -              | 10,000         | 56,328              | £ 46,328            |                       | £71,000 previously rolled forward to 2012/13 but progress with work faster than anticipated therefore adjustment required. |          |
| Pool Filters SLC & BLC            | DG           | -             | -              | -              | -                   | -                   |                       | £75,000 roll forward to 2012/13 previously approved.   |          |
| Pool Tank Tiles HLC, TLC & BLC    | DG           | -             | -              | -              | -                   | -                   |                       | £120,000 roll forward to 2012/13 previously approved.  |          |
| HLC Plant Controls & Air Handling | DG           | -             | -              | -              | -                   | -                   |                       | £21,000 roll forward to 2012/13 previously approved.   |          |
| Thirsk LC Disabled Hoist          | DG           | -             | 7,000          | 7,000          | 7,000               | -                   | 2011/02               | Scheme complete.   |          |
| <b>Total</b>                      |              | <b>93,000</b> | <b>165,055</b> | <b>258,055</b> | <b>140,090</b>      | <b>£ (117,965)</b>  |                       |  |          |

1st April to 31st March 2012

## CAPITAL OUTTURN 2011/2012

## PARTNERSHIPS

| Scheme                                      | Resp Officer | Programme      |                | Expenditure to Date |                | Anticipated Outturn | Variance over/(under) | Cabinet Ref. Year/No. | Comments  |
|---|--------------|----------------|----------------|---------------------|----------------|---------------------|-----------------------|-----------------------|---|
|   |              | HDC            | Grants         | Total               | Total          |                     |                       |                       |   |
|   |              | £              | £              | £                   | £              | £                   | £                     |                       |   |
| Bedale Station and Bridge                   | MAJ          | -              | -              | -                   | -              | -                   | -                     | 2007/09/55            | £63,000 roll forward to 2012/13 previously approved.  |
| Northallerton Evolution Business Centre     | SW           | -              | 45,000         | 45,000              | 9,084          | 9,084               | (35,916)              | 2007/09/55            | <b>Roll forward requested.</b>  |
| Momentum (Grow on Units), Stokesley         | SW           | 37,418         | -              | 37,418              | -              | -                   | (37,418)              | 2006/161              | <b>Roll forward requested.</b>  |
| Car Parks - Thirsk Cobbles                  | DMcG         | 20,000         | -              | 20,000              | -              | -                   | (20,000)              | 2009/41               | £80,000 roll forward to 2012/13 previously approved.<br><b>Additional roll forward requested.</b>                           |
| - Other Sites                               | DMcG         | -              | -              | -                   | -              | -                   | -                     |                       | £33,000 roll forward to 2012/13 previously approved.  |
| Car Parking Charges - Set-up Costs          | DMcG         | 6,514          | -              | 6,514               | -              | -                   | (6,514)               | 2007/72               | <b>Roll forward requested.</b>  |
| Car Parking Charges - Set-up Costs          | DMcG         | -              | -              | -                   | 20,000         | 20,000              | 20,000                |                       | NYCC £20K invoice over spend on car park surveys  |
| Adoptions - Leeming Bar Phases 2 and 3      | SW           | 75,000         | -              | 75,000              | 71,268         | 71,268              | (3,732)               | 2010/123              | <b>Roll forward requested.</b>  |
| Adoptions - Northallerton Thurston Rd       | SW           | -              | -              | -                   | -              | -                   | -                     | 2006/146              | £130,000 roll forward to 2012/13 previously approved.   |
| Adoptions - Other Sites                     | SW           | -              | -              | -                   | -              | -                   | -                     | 2006/146              | £44,100 roll forward to 2012/13 previously approved.  |
| Northallerton Town Square                   | SW           | -              | 59,000         | 59,000              | 37,480         | 37,480              | (21,520)              | 2010/60               | <b>Roll forward requested.</b>  |
| WOJH Windows, Roof & Emergency Lighting     | MAJ          | 10,000         | -              | 10,000              | 7,696          | 7,696               | (2,304)               | 2010/25               | <b>Roll forward requested.</b>  |
| Energy Efficiency for Vulnerable Households | MAJ          | -              | 79,039         | 79,039              | -              | -                   | (79,039)              | 2008/07               | <b>Roll forward requested.</b>  |
| Bedale Heritage Partnership Scheme          | MAJ          | -              | 7,701          | 7,701               | 45,929         | 45,929              | 38,228                | 2007/64               | Scheme complete and fully funded by grant from English Heritage.  |
| CCTV Control Room Upgrade                   | MAJ          | -              | -              | -                   | -              | -                   | -                     |                       | £99,000 roll forward to 2012/13 previously approved.  |
| Romanby Flood Defence                       | MAJ          | -              | -              | -                   | 32,061         | 32,061              | 32,061                |                       | Flood defence work co-ordinated by NY Building Control Partnership on behalf of the Environment Agency. Fully grant funded. |
| Thirsk New TIC                              | SW           | 3,000          | -              | 3,000               | -              | -                   | (3,000)               |                       | <b>Roll forward requested.</b>  |
| <b>Total</b>                                |              | <b>151,932</b> | <b>190,740</b> | <b>342,672</b>      | <b>223,518</b> | <b>223,518</b>      | <b>(119,154)</b>      |                       |   |

1st April to 31st March 2012

## CAPITAL OUTTURN 2011/2012

## CORPORATE MANAGEMENT

| Scheme                                       | Programme |                | Expenditure to Date | Anticipated Outturn | Variance over/(under) | Cabinet Ref. Year/No. | Comments  |
|--|-----------|----------------|---------------------|---------------------|-----------------------|-----------------------|---|
|  | HDC       | Grants         |                     |                     |                       |                       |   |
|  | £         | £              | £                   | £                   | £                     |                       |   |
| Aircon Legislation Server Rooms, Repro & Gym | MR        | -              | -                   | -                   | -                     |                       | £15,000 roll forward to 2012/13 previously approved.  |
| Voltage Optimisers Civic Centre & HLC        | MR        | 41,500         | 34,166              | 34,166              | (7,334)               | 2010/124              | <b>Roll forward requested.</b>                        |
| Energy Saving Schemes - (Carbon Management)  | MR        | -              | -                   | -                   | -                     | 2008/85               | £407,000 roll forward to 2012/13 previously approved. |
| Public Lighting Replacements                 | MR        | 38,974         | 23,798              | 23,798              | (15,176)              |                       | <b>Roll forward requested.</b>                        |
| Servers                                      | SW        | 16,000         | 31,503              | 31,503              | 15,503                |                       | Operational over spend.                               |
| Network & Security                           | SW        | 53,000         | 45,162              | 45,162              | (7,838)               |                       | Operational under spend.                              |
| Desktops                                     | SW        | 22,000         | 53,626              | 53,626              | 31,626                |                       | Operational over spend.                               |
| Communications                               | SW        | 36,000         | 5,000               | 5,000               | (31,000)              |                       | Operational under spend.                              |
| Web  | SW        | 18,000         | -                   | -                   | (18,000)              |                       | Operational under spend.                              |
| Software                                     | SW        | 63,499         | 22,392              | 22,392              | (41,107)              |                       | <b>Roll forward requested.</b>                        |
| <b>Total</b>                                 |           | <b>288,973</b> | <b>215,647</b>      | <b>215,647</b>      | <b>(73,326)</b>       |                       |   |

1st April to 31st March 2012

## CAPITAL RECEIPTS &amp; GRANTS 2011/2012

## EXPENDITURE SUMMARY

| Scheme               | Programme        |                  |                    | Receipts to Date<br>£ | Anticipated<br>Outturn<br>£ | Variance<br>over/(under)<br>£ |
|----------------------|------------------|------------------|--------------------|-----------------------|-----------------------------|-------------------------------|
|                      | Receipts<br>£    | Grants<br>£      | Total<br>£         |                       |                             |                               |
| Customer Services    | -                | -                | -                  | (1,680)               | (1,680)                     | (1,680)                       |
| Housing & Planning   | (50,000)         | (184,250)        | (234,250)          | (364,033)             | (364,033)                   | (129,783)                     |
| Leisure & Health     | -                | (257,971)        | (257,971)          | (171,029)             | (171,029)                   | 86,942                        |
| Partnerships         | -                | (66,701)         | (66,701)           | (377,143)             | (377,143)                   | 390,408                       |
| Corporate Management | -                | -                | -                  | -                     | -                           | -                             |
| <b>Total</b>         | <b>(750,850)</b> | <b>(508,922)</b> | <b>(1,259,772)</b> | <b>(913,885)</b>      | <b>(913,885)</b>            | <b>345,887</b>                |

1st April to 31st March 2012

## CAPITAL RECEIPTS &amp; GRANTS 2011/2012

## CUSTOMER SERVICES

|                  | Resp Officer | Programme |          |          | Receipts to Date | Anticipated Outturn | Variance over/(under)                                    | Comments |
|------------------|--------------|-----------|----------|----------|------------------|---------------------|--|----------|
|                  |              | Receipts  | Grants   | Total    |                  |                     |  |          |
| Sale of Vehicles | MAJ          | £ -       | £ -      | £ -      | £ (1,680)        | £ (1,680)           | Disposal of 3 vehicles with no estimated residual value. |          |
| <b>Total</b>     |              | <b>0</b>  | <b>0</b> | <b>0</b> | <b>(1,680)</b>   | <b>(1,680)</b>      |  |          |



1st April to 31st March 2012

## CAPITAL RECEIPTS &amp; GRANTS 2011/2012

## HOUSING &amp; PLANNING

| Scheme   | Resp Officer | Programme       |                  |                  | Receipts to Date | Anticipated Outturn | Variance Over/(under)  | Comments |
|--|--------------|-----------------|------------------|------------------|------------------|---------------------|--|----------|
|  |              | Receipts        | Grants           | Total            |                  |                     |  |          |
|  |              | £               | £                | £                | £                | £                   |  |          |
| Sale of Assets including receipts from Housing Right to buy Clawback | MAJ          | (50,000)        | -                | (50,000)         | (107,608)        | (107,608)           | Annual payment from Broadacres Housing Association.  |          |
| Housing Renovation Grants  | MAJ          | -               | (184,250)        | (184,250)        | (256,425)        | (256,425)           | Annual allocation of £154,000 in government grant plus additional allocation of £30,250. Further £72,175 accrual from 2010/11. |          |
| <b>Total</b>   |              | <b>(50,000)</b> | <b>(184,250)</b> | <b>(234,250)</b> | <b>(364,033)</b> | <b>(364,033)</b>    | <b>(129,783)</b>   |          |

1st April to 31st March 2012

## CAPITAL RECEIPTS &amp; GRANTS 2011/2012

## LEISURE &amp; HEALTH

| Scheme                                 | Resp Officer | Programme |                  |                  | Receipts to Date | Anticipated Outturn | Variance over/(under)                                  | Comments |
|--|--------------|-----------|------------------|------------------|------------------|---------------------|--|----------|
|  |              | Receipts  | Grants           | Total            |                  |                     |  |          |
|  |              | £         | £                | £                | £                | £                   |  |          |
| Thirsk Sensory Garden                  | DG           | -         | (20,000)         | (20,000)         | (20,000)         | (20,000)            | - Section 106, Thirsk Town Council.                    |          |
| Thirsk 8 Skatepark Provision           | DG           | -         | (2,500)          | (2,500)          | (2,500)          | (2,500)             | - Complete.  |          |
| Thirsk All Weather Pitch               | DG           | -         | (39,055)         | (39,055)         | (28,887)         | (28,887)            | 10,168 Various bodies including Section 106, complete. |          |
| Gaitres Centre Sports Hall             | DG           | -         | (182,774)        | (182,774)        | (106,000)        | (106,000)           | 76,774 Section 106.                                    |          |
| Thirsk LC Dry Sport Extension          | DG           | -         | (7,000)          | (7,000)          | (7,000)          | (7,000)             | - Disabled hoist.                                      |          |
| Hambleton LC Improved Water Facilities | DG           | -         | (6,642)          | (6,642)          | (6,642)          | (6,642)             | - Section 106.   |          |
| <b>Total</b>                           |              | <b>0</b>  | <b>(257,971)</b> | <b>(257,971)</b> | <b>(171,029)</b> | <b>(171,029)</b>    | <b>86,942</b>  |          |

1st April to 31st March 2012

## CAPITAL RECEIPTS &amp; GRANTS 2011/2012

## PARTNERSHIPS

| Scheme                                | Resp Officer | Programme        |                 | Receipts to Date | Anticipated Outturn | Variance over/(under) | Comments  |
|---------------------------------------|--------------|------------------|-----------------|------------------|---------------------|-----------------------|---|
|                                       |              | Receipts         | Grants          |                  |                     |                       |   |
|                                       |              | £                | £               | £                | £                   | £                     |   |
| Leeming Bar Industrial Estate Phase 4 | SW           | (700,850)        | -               | (249,200)        | (249,200)           | 451,650               | Sale of land.   |
| Bedale Heritage Partnership Scheme    | MAJ          | -                | (7,701)         | (45,798)         | (45,798)            | (38,097)              | Scheme complete.  |
| Northallerton Town Square             | SW           | -                | (59,000)        | (50,000)         | (50,000)            | 9,000                 | Section 106 monies balance of funding to be rolled forward.   |
| Romanby Flood Defence                 | MAJ          | -                | -               | (32,145)         | (32,145)            | (32,145)              | Unexpected grant for flood defence work funded by Environment Agency and co-ordinated by NY Building Control Partnership. |
| <b>Total</b>                          |              | <b>(700,850)</b> | <b>(66,701)</b> | <b>(377,143)</b> | <b>(377,143)</b>    | <b>390,408</b>        |   |

## Revenue Grants Fund

Monitoring Statement 2011/12  
1st April - 31st March 2012

| Name of Grant  | Programme      |             |                | Exp to Date<br>£ | Anticipated<br>Outturn<br>£ | Variance<br>Over/(Under)<br>£ | Cabinet Ref.<br>Year/No. | Comments                        |
|--|----------------|-------------|----------------|------------------|-----------------------------|-------------------------------|--------------------------|---------------------------------|
|  | HDC<br>£       | Grants<br>£ | Total<br>£     |                  |                             |                               |                          |                                 |
| Rural Arts - Grants to Voluntary Bodies                        | 1,300          | -           | 1,300          | -                | -                           | (1,300)                       | 2007 CA 56               | Grant not paid return to fund.  |
| Dance Grant (Get Moving)                                       | 1,500          | -           | 1,500          | -                | -                           | (1,500)                       | 2007 CA 56               | Grant not paid return to fund.  |
| Rural Arts North Yorkshire                                     | 12,000         | -           | 12,000         | -                | -                           | (12,000)                      | 2007 CA 56               | Grant not paid return to fund.  |
| Rural Arts North Yorkshire                                     | 6,000          | -           | 6,000          | -                | -                           | (6,000)                       | 2007 CA 56               | Grant not paid return to fund.  |
| Sports Grants  | 1,720          | -           | 1,720          | -                | -                           | (1,720)                       | 2007 CA 56               | Grant not paid return to fund.  |
| General Promotion - includes Age Concern - Hambleton Strollers | 4,700          | -           | 4,700          | 3,000            | 3,000                       | (1,700)                       | 2007 CA 56               | Complete return balance to fund |
| Young Presons Strategy   | 7,590          | -           | 7,590          | -                | -                           | (7,590)                       | 2007 CA 56               | Grant not paid return to fund.  |
| Community Safety   | 10,000         | -           | 10,000         | 10,000           | 10,000                      | -                             | 2007 CA 56               |                                 |
| Community Planning Grants                                      | 20,520         | -           | 20,520         | 5,000            | 5,000                       | (15,520)                      | 2007 CA 56               | Roll forward£15,520 to 2012/13  |
| Biodiversity Action Plan                                       | 2,000          | -           | 2,000          | 2,000            | 2,000                       | -                             | 2007 CA 56               |                                 |
| Howardian Hills Area of Outstanding Natural Beauty             | 5,800          | -           | 5,800          | 5,800            | 5,800                       | -                             | 2007 CA 56               |                                 |
| North East & Yorkshire Environmental Data Centre               | 500            | -           | 500            | -                | -                           | (500)                         | 2007 CA 56               | Grant not paid return to fund.  |
| Business Support Grant   | 10,000         | -           | 10,000         | -                | -                           | (10,000)                      | 2007 CA 56               | Grant not paid return to fund.  |
| Local Development Agency                                       | 12,000         | -           | 12,000         | 12,000           | 12,000                      | -                             | 2007 CA 56               |                                 |
| Over 50's Forum  | 3,000          | -           | 3,000          | 3,000            | 3,000                       | -                             | 2007 CA 56               |                                 |
| Contribution to N Yorkshire Advice Partnership                 | 1,200          | -           | 1,200          | 1,200            | 1,200                       | -                             | 2007 CA 56               |                                 |
| Yorkshire Tourist Board/ Welcome to Yorkshire                  | 1,240          | -           | 1,240          | 1,239            | 1,239                       | (1)                           | 2007 CA 56               |                                 |
| Hambleton & Richmond Rural Transport Partnership               | 2,250          | -           | 2,250          | 2,500            | 2,500                       | 250                           | 2007 CA 56               |                                 |
| Wheels to Work   | 10,000         | -           | 10,000         | 10,000           | 10,000                      | -                             |                          |                                 |
| Ryedale Energy Partnership                                     | 4,200          | -           | 4,200          | -                | -                           | (4,200)                       |                          | Grant not paid return to fund.  |
| Homelessness - Priority Needs Grants                           | 8,000          | -           | 8,000          | 8,000            | 8,000                       | -                             |                          |                                 |
| Balance Brought Forward  | (529,146)      |             |                |                  |                             |                               |                          |                                 |
| <b>Fund Balance 31/3/12</b>                                    | <b>465,407</b> |             |                |                  |                             |                               |                          |                                 |
| <b>Total</b>   |                | -           | <b>125,520</b> | <b>63,739</b>    | <b>63,739</b>               | <b>( 61,781 )</b>             |                          |                                 |

Local Development FrameworkResponsible Officer: Mick JewittMonitoring Statement 2011/2012  
1st April - 31st March 2012

| Scheme                               | Programme     |             |               | Exp to Date<br>£ | Anticipated<br>Outturn<br>£ | Variance<br>£    | Cabinet Ref.<br>Year/No. | Comments   |
|--------------------------------------|---------------|-------------|---------------|------------------|-----------------------------|------------------|--------------------------|--|
|                                      | HDC<br>£      | Grants<br>£ | Total<br>£    |                  |                             |                  |                          |  |
| Additional Staff/Consultancy Support | 36,895        | -           | 36,895        | 31,867           | 31,867                      | ( 5,028 )        |                          | Legal case not closed therefore request to roll forward balance to 2012-13 |
| Maps and Printing                    | 6,000         | -           | 6,000         | 2,652            | 2,652                       | ( 3,348 )        |                          | Legal case not closed therefore request to roll forward balance to 2012-13 |
| <b>Total</b>                         | <b>42,895</b> | <b>-</b>    | <b>42,895</b> | <b>34,519</b>    | <b>34,519</b>               | <b>( 8,376 )</b> |                          |  |

Service Improvement Reserve

**Annex C(3)**

Monitoring Statement 2011/12

1st April to March 2012

| Programme   | Outstanding Commitment 2011/12<br>£ | Exp to Date<br>£ | Anticipated Outturn<br>£ | Variance<br>£ | Comments                             |
|---|-------------------------------------|------------------|--------------------------|---------------|--------------------------------------|
| Training in Children Services   | 607                                 | 340              | 340                      | ( 267 )       | Roll forward £267 to 2012-13         |
| Inclusion of Council Land in Alcohol Designation - Thirsk and Sowerby | 4,610                               | 186              | 186                      | ( 4,424 )     | Under spend return £4,424 to fund    |
| Homelessness Prevention Fund  | 8,268                               | -                | -                        | ( 8,268 )     | Roll forward £8,268 to 2012-13       |
| Small Loans to Household holders facing repossession                  | 24,500                              | 6,000            | 6,000                    | ( 18,500 )    | Roll forward £18,500 to 2012-13      |
| Energy Conservation   | 136,843                             | 17,437           | 17,437                   | ( 119,406 )   | Roll forward £119,406 to 2012-13     |
| Establish World of James Herriot Trust                                | 18,744                              | -                | 0                        | ( 18,744 )    | Under spend return to fund           |
| Market Promotions   | 9,030                               | -                | 0                        | ( 9,030 )     | Roll forward £9,030 to 2012-13       |
| eng-AGE into Sport  | 18,027                              | 5,127            | 5,127                    | ( 12,900 )    | Roll forward £12,900 to 2012-13      |
| Integrated Youth Sport  | 1,419                               | -                | -                        | ( 1,419 )     | Roll forward £1,419 to 2012-13       |
| Development at Leeming Bar  | 2,627                               | -                | -                        | ( 2,627 )     | Roll forward £2,627 to 2012-13       |
| Prevention of Homelessness & Repossession                             | 81,522                              | 51,139           | 51,139                   | ( 30,383 )    | Roll forward £30,383 to 2012-13      |
| Bagby Airfield Planning Appeal  | 4,675                               | 900              | 900                      | ( 3,775 )     | Roll forward £3,775 to 2012-13       |
| Set up Grant for New TIC  | 6,300                               | 6,300            | 6,300                    | -             | Complete                             |
| Planning Appeal Care Home   | 5,688                               | -                | -                        | ( 5,688 )     | Roll forward £5,688 to 2011-13       |
| Business Rate Relief Scheme   | 9,693                               | -                | -                        | ( 9,693 )     | Under spend return to fund           |
| Surrender of Lease 49a Market Place Thirsk                            | 3,292                               | -                | -                        | ( 3,292 )     | Roll forward £3,292 to 2012-13       |
| Voluntary Redundancy - Secretary                                      | 8,580                               | 8,579            | 8,579                    | ( 1 )         | Complete, return under spend to fund |
| Transfer of Public Conveniences                                       | 234,350                             | 234,000          | 234,000                  | ( 350 )       | Complete, return under spend to fund |



Cyclical Reserve

Monitoring Statement 2011/12  
1st April 2011 to 31st March 2012

| Programme                                     | Resp Officer | Outstanding Commitment 2011/12 £ | Exp to Date £ | Anticipated Outturn £ | Variance £         | Cycle   | Next Event (Year) | Comments                  |
|---|--------------|----------------------------------|---------------|-----------------------|--------------------|---------|-------------------|---------------------------|
| <b><u>Significant Cyclical Events:</u></b>    |              |                                  |               |                       |                    |         |                   |                           |
| Housing Survey                                | HF           | 40,000                           | -             | -                     | ( 40,000 )         | 5 years | 2015/16           | No spend - return to fund |
| Citizens Panel                                | FB           | 20,000                           | -             | -                     | ( 20,000 )         | Annual  | 2012/13           | No spend - return to fund |
| Home Energy Survey                            | PS           | 3,000                            | -             | -                     | ( 3,000 )          | Annual  | 2012/13           | No spend - return to fund |
| Polling Station Ballot Boxes                  | MR           | 7,200                            | -             | -                     | ( 7,200 )          | 4 years | 2015/16           | No spend - return to fund |
| District Elections                            | MR           | 112,000                          | 68,496        | 68,496                | ( 43,504 )         | 4 years | 2015/16           | Return balance to fund    |
| Balance B/F                                   |              | 1,097,712                        |               |                       |                    |         |                   |                           |
| Less:   |              | ( 68,496 )                       |               |                       |                    |         |                   |                           |
| 2011/12 Allocation Spent                      |              | 1,029,216                        |               |                       |                    |         |                   |                           |
| <b>Actual Balance on Reserve 31/3/12</b>      |              | ( 647,700 )                      |               |                       |                    |         |                   |                           |
| Committed per 10 Year Programme (2011 - 2020) |              | <b>381,516</b>                   |               |                       |                    |         |                   |                           |
| <b>Unallocated Balance</b>                    |              |                                  |               |                       |                    |         |                   |                           |
| <b>Total</b>                                  |              |                                  | <b>68,496</b> | <b>68,496</b>         | <b>( 113,704 )</b> |         |                   |                           |